

Part A. Details of Jersey UT (Non-Fund)

1.4 Name(s) of trustee(s) (including any co-trustee or corporate trustee) whereby the trustee is an **individual**

1.4.1 Title

1.4.2 Surname

1.4.3 Legal name (forename(s))

1.4.4 Date of birth (e.g. 01 APR 2017)

1.4.5 Address of trustee

Parish¹ Post code

1.4.6 Country of residence

1.4.7 E-mail address

Part B. Jersey service provider(s) to Jersey UT (Non-Fund)

2 Jersey service provider(s) to Jersey UT (Non-Fund)

TCB Number

2.1 Name and address of registered TCB

Parish¹ Post code

2.2 Name(s) and address(es) of other Jersey service provider(s)

Parish¹ Post code

Part C. Activity of Jersey UT (Non-Fund)


3.1 Under what Article(s) is the COBO consent sought for the purposes of this application?

3.1.1 Article 9(1)(a) – Unit Trust raising money in the Island

3.1.2 Article 9(1)(b) – Unit Trust holding register of units in the Island

3.2 Please confirm whether the activity of the Jersey UT (Non-Fund) falls within the remit of Tables 1 or 2 of the SBPP. Yes No

3.2.1 If yes, please provide details of the activity falling within the SBPP

Add Further Information 

Part C. Activity of Jersey UT (Non-Fund)

3.3 If the activity of the Jersey UT (Non-Fund) is not caught within the remit of the SBPP, please confirm whether any of the following activities apply to the Jersey UT (Non-Fund):

Holding real estate Commercial Residential

Country where real estate is situated (if known)

3.4 If the activity of the Jersey UT (Non-Fund) is not caught within the remit of the SBPP and none of the activities in 3.3 above apply to the Jersey UT (Non-Fund), please give appropriate details of what other activity the Jersey UT (Non-Fund) will be conducting.


Part D. Higher risk factors

4 Higher risk factors

4.1 Please confirm that, in completing customer due diligence (CDD) in relation to the Jersey UT (Non-Fund), due regard has been given to the following:

- Corruption (Jersey) Law 2006;
- Sanctions;
- The JFSC's proliferation and proliferation financing guidance;
- Higher risk factors described in the AML/CFT Handbook (e.g. Part 1, Section 3 - Identification measures (CDD) Requirements and Appendices D1 and D2); and/or
- Adverse public source information.

4.2 Detail below any higher risk factors identified in the CDD or enhanced due diligence process (as applicable) undertaken as part of this application, having particular regard to Article 15 of the MLO (e.g. is the ultimate beneficial owner connected to an enhanced risk state?).

Add Further Information 

Part E. Declaration of TCB

To the best of our knowledge, belief and understanding, I/we declare that the information given in this Jersey UT1 (Non-Fund) Form is complete and correct at the time of application, that there are no material facts of which the JFSC should be aware, that **all data and information has been gathered in accordance with the AML/CFT Handbook**, and that I/we are aware of the penalties contained in the Control of Borrowing (Jersey) Law 1947, as amended, for providing false or misleading information.

I/We also declare that I/We am/are signing for and on behalf of: TCB Number

an entity authorised to conduct by way of business, trust company business as defined in the Financial Services (Jersey) Law 1998.
My/Our position within the authorised entity is:

Director / Authorised signatory

Director / Authorised signatory

and, in line with the authorised entity's internal procedures, I/we am/are entitled to sign this document.

Full Name

Full Name

Signature

Signature

Dated (e.g. 01 APR 2017)

Dated (e.g. 01 APR 2017)

The Registrar will no longer be providing a hard copy of the COBO consent.
Please provide a valid email address to which a copy of the COBO consent, together with the corresponding invoice will be sent.