



JFSC Industry communications timetable for 2018/2019

Key

| | |
|---|---|
| ■ News | ■ Guidance note |
| ■ Event/speaker engagement | ■ Applications |
| ■ Consultation paper | ■ Strategic project |
| ■ Fees | ■ Other |

October 2018

| Date | Subject |
|---------------------------------|---|
| ● October | Code amendments consultation paper Annual round of updates to codes Expected Industry deadline for feedback December |
| ● 1-7 October | IOSCO World Investor Week |
| ● 2 October | Mourant & QEB Hollis Whiteman Financial Services & Regulatory Forum JFSC regulatory update presentation and panel discussion, by Mike Jones |
| ● 4 October | JFSC NED presentation on Class Gs, by Jill Britton |
| ● 11 October | STEP Regulatory Seminar JFSC regulatory update presentation to cover data collection, AML/CFT, on-site examination activity and policy update, by Supervision and Policy teams |
| ● 12 October | JSCCA Annual Conference JFSC Policy and Financial Crime Policy teams providing regulatory update, by Mike Jones, Caroline Morgan and David Porter |
| ● Mid October | Supervision Data Collection/NRA Phase II Reminder email regarding submission of step 2 data for banking and IB sectors |
| ● 23 October | KPMG Industry Dinner JFSC Update and 2019 Thoughts, by Jill Britton |
| ● 30-31 October | Jersey Finance South Africa Roadshow Mike Jones representing the JFSC |
| ● 30-31 October | Council of Europe, International Conference on Transparency of Beneficial Ownership Presentation on beneficial ownership registries by Wanda Adam |
| ● End October | Specified schedule 2 businesses application / Authorisation Go live launch for online application |
| ● 31 October | Supervision Data Collection/NRA Phase II Closing date for submission of step 2 data for banking and IB sectors |
| ● Late October – early November | Financial Crime Strategy Group consultation feedback paper to be issued on AML/CFT legal framework |

November 2018

| Date | Subject |
|------------------|--|
| ● 1 November | STEP Annual Conference Regulatory update, by Jill Britton |
| ● Early November | Supervision Data Collection/NRA Phase II |

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|---------------------------------------|-----------------|--|
| | | Reminder email regarding submission of step 3 data for Trust Company Businesses, Fund Services Businesses, Service providers to Jersey Private Funds, Service providers to Unregulated Funds, Service providers to Public Funds |
| ● | 6 November | JIBS Compliance & Economic Crime Symposium Presentation on case studies in regulatory failure, by Barry Faudemer |
| ● | Early November | Fee consultation on Schedule 2 businesses and TCBs/MSBs/GIMBs |
| ● | Early November | Feedback Paper from Q2 Client Asset Thematic to be issued |
| ● | Mid November | Investment Business Regime Enhancement Project Feedback on Consultation Paper No. 4 2018 |
| ● | Mid November | Publish revised Codes of Practice and Guidance of updated pillar I elements (capital and liquidity) of Basel III |
| ● | 16 November | Supervision Data Collection/NRA Phase 2 Closing date for submission of step 3 data for Trust Company Businesses, Fund Services Businesses, Service providers to Jersey Private Funds, Service providers to Unregulated Funds, Service providers to Public Funds |
| ● | w/c 26 November | Group of International Finance Centre Supervisors (GIFSC) Plenary Meeting and International Conference Banking Supervisors 2018 Meeting in UAE |
| ● | 30 November | Jersey Finance CEO Connect Forward Focus Event Roundtable with Industry and Government leaders, Mike Jones representing JFSC |
| ● | End November | FS(J)L – IB, TCB, GIMB, MSB, FSB, AIFSB (PQ) and Recognised Auditors application / Authorisation Go live launch for online application |

December 2018

| Date | Subject |
|--|--|
| ● Early December | GIFCS evaluation report on Jersey expected |
| ● End December / early January | Discretionary Authorised Auditors and Insurance – A and B application / Authorisation Go live launch for online application |

Forthcoming events/communications – no dates confirmed for 2018

| Date | Subject |
|--|---|
| ● Q4 | PII Guidance Note Update on the recently published PII Guidance Note, following feedback from Industry |
| ● Q4 | New civil penalties law – announcement required when new law comes into force |

2019

| Date | Subject |
|---|--|
| ● January | Code amendments feedback on code update consultation |
| ● January | Supervision Data Collection/NRA |

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| | | Communication regarding 2018 data collection exercise for completion in March 2019 |
| ● | End January | CIF and Deposit-taking Businesses application / Authorisation Go live launch for online application |
| ● | 6 February | JFSC Business Plan 2019 event and publication |
| ● | February | Final publication of updated codes |
| ● | Q 1 | JFSC cyber security event |
| ● | Q 1 | Launch for JFSC new website |
| ● | Q 1 | NPO application / Authorisation Go live launch for online application |
| ● | H 1 | Supervision - Industry update presentation |
| ● | Q 2 | Communication re amendments to the JFSC Handbooks (annual update) |
| ● | H 2 | Financial Crime Strategy Group AML/CFT consultation (this time on effectiveness) |
| ● | Tbc | Investment Business regime enhancements project |
| ● | Tbc | Register of Directors outreach programme |

Please note that all future dates are indicative and subject to change.